

# Guideline of Online Application for Fund Market Trading Function & Submission of Client Risk Profiling Questionnaire

1. [Application for Fund Market Trading Function](#)
2. [Submission of Client Risk Profiling Questionnaire](#)

## 1. Application for Fund Market Trading Function

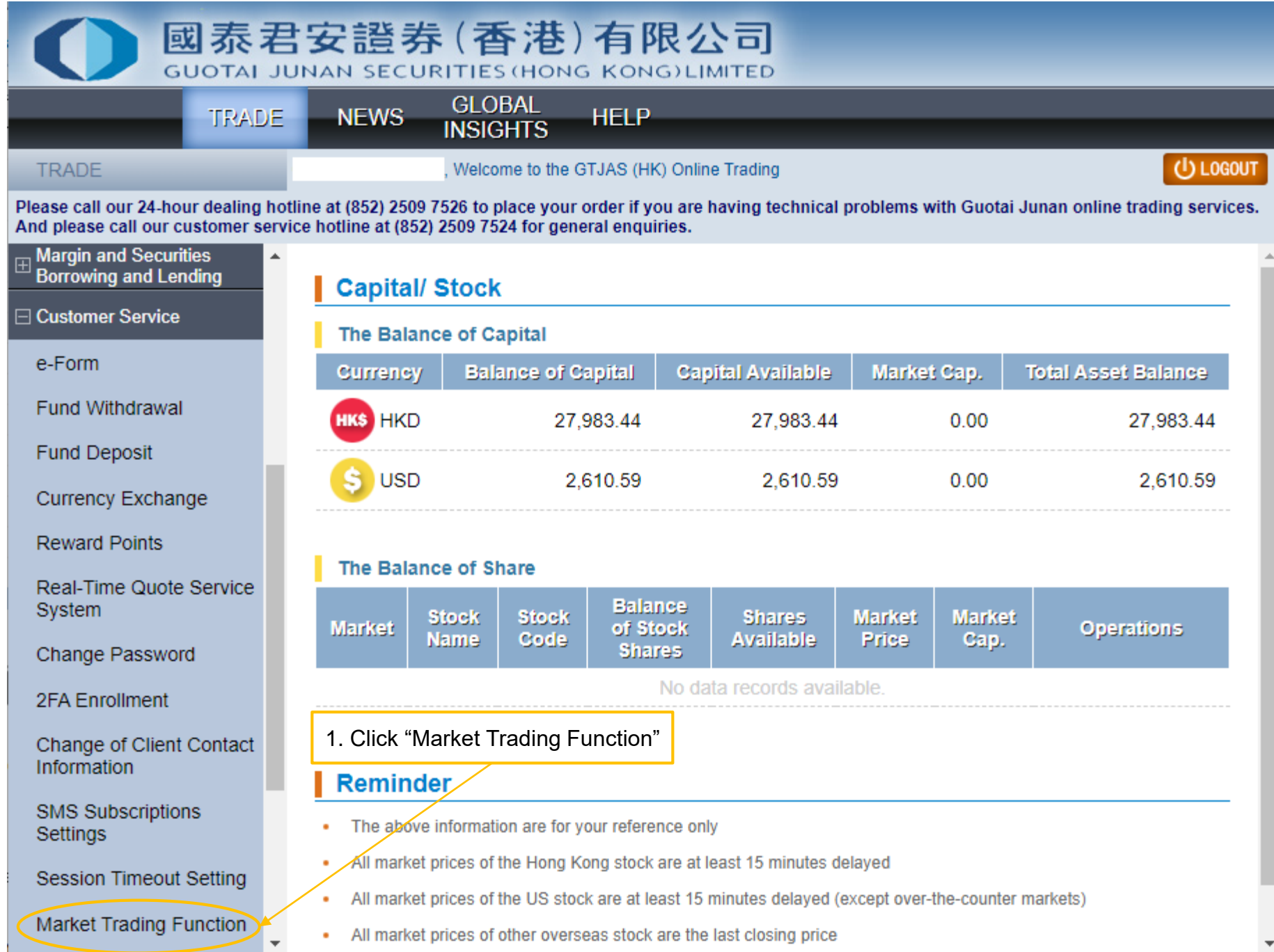
- **Step 1:** Login to Online Trading Platform  
[https://trade.gtjai.com/oapp/page\\_en/common/login.html](https://trade.gtjai.com/oapp/page_en/common/login.html)



The screenshot shows the login interface with the following elements:

- Account No. input field with a "New Client" link below it.
- Login Password input field with a "Forgot Password" link below it.
- Verification Code input field with a CAPTCHA image showing "8951".
- "Client Login" and "Forgot Password" buttons.
- Important Notice section with links to:
  - Latest Regulations for Derivative Products Trading Service
  - Implementing Two-Factor Authentication Service (2FA)
  - Prompt Notification of Internet Trading Activities
  - Suspension of Email OTP Method (starting from 1 April 2021)
  - GTJAI Mobile OTP Video Guide
  - Re: Notification and Client Consent for the Access Service (Only applicable to Individual clients who receive statements by email)
- Additional notices:
  - If it's your first time to use our online trading system, please read the [Risk Disclosure Statements](#)
  - If you want to know more information, please read [GTJAS \(HK\) Online Trading](#) first
  - Should you have any queries, please feel free to contact us:

- **Step 2:** Select "Customer Service" in menu bar, then click "Market Trading Function"



The screenshot shows the "Customer Service" menu with "Market Trading Function" circled in yellow. A yellow box with the text "1. Click 'Market Trading Function'" has an arrow pointing to the circled menu item.

- **Step 3:** Click "Request Function" if the status of Fund Market is "No"

**Market Trading Function**

Market Code	Market Name	Status	Operation
AUS	Australia	Yes	Cancel Function
BON	Bonds Market	No	Request Function
CAN	Canada	Yes	Cancel Function
DEU	Germany	No	Request Function
DEV	OTC Derivatives	No	Request Function
FRA	France	No	Request Function
FUN	Fund Market	No	Request Function
HKG	Hong Kong	Yes	

A yellow box with the text "2. Click 'Request Function'" has an arrow pointing to the "Request Function" button for the "FUN" (Fund Market) row.

- **Step 4:** Please confirm the details of application for open Fund Market Trading Function, then click "Confirm"

### Request for Market Share Trading Function - Confirm

3. Click "Confirm"

App. Market: [FUN] Fund Market  
 App. Type: Open Market Shares

Confirm Cancel

- **Step 5:** The application for the Fund Market Trading Function has been submitted to our system for approval.

### Request for Market Share Trading Function - App. Received!



Your application has been submitted to our trading system!  
 App. No.:

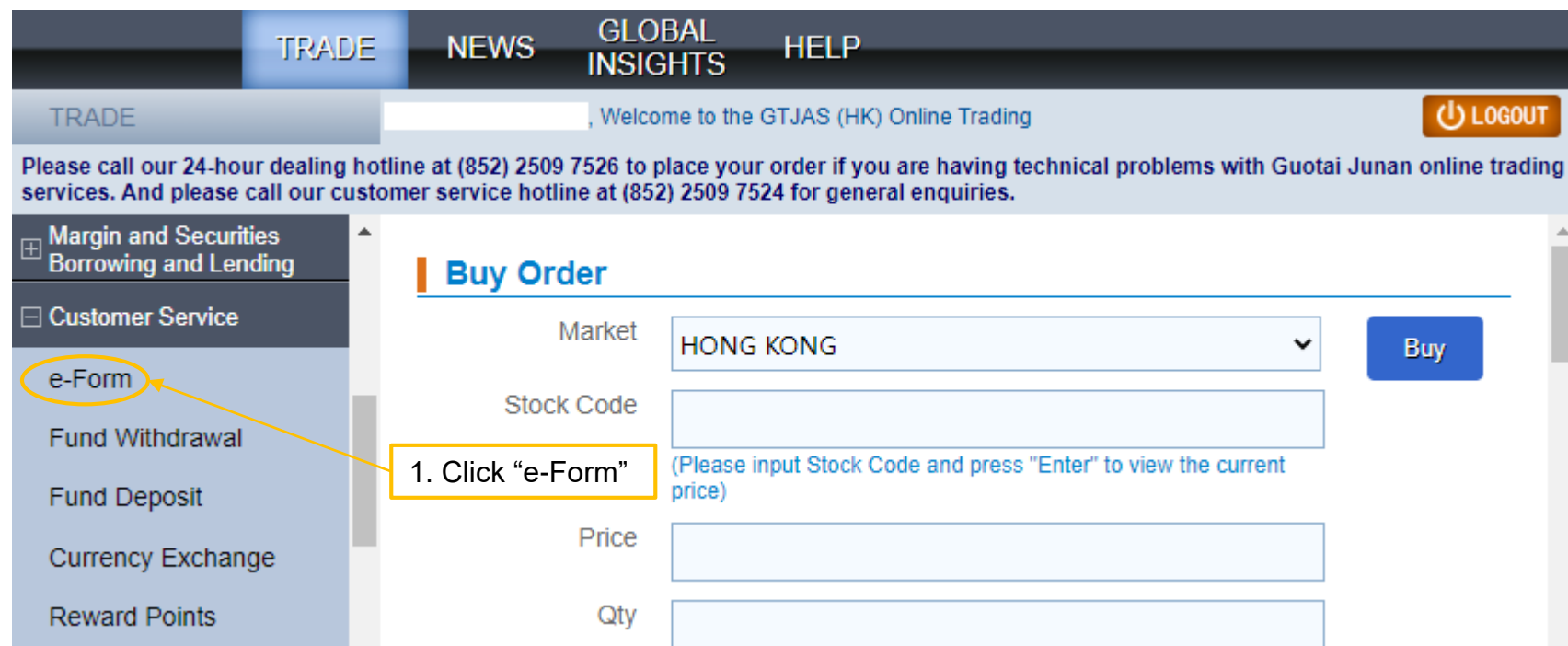
### Reminder

- Your application has been submitted to our trading system, it may takes 3-5 working days to approve your application.

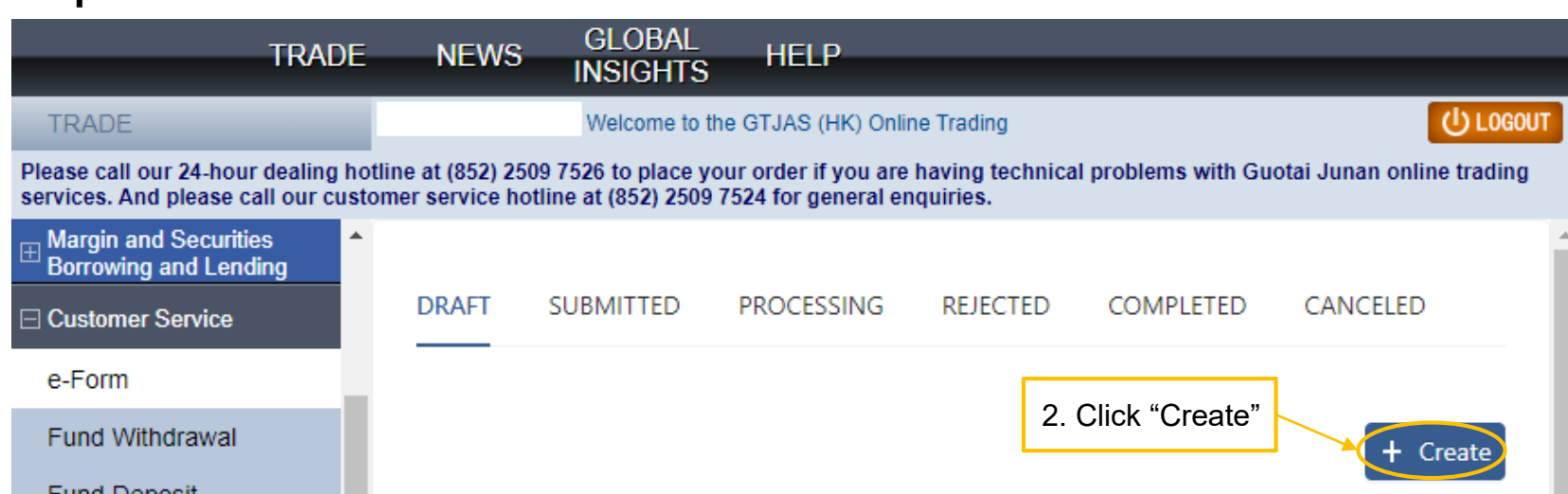


## 2. Submission of Client Risk Profiling Questionnaire

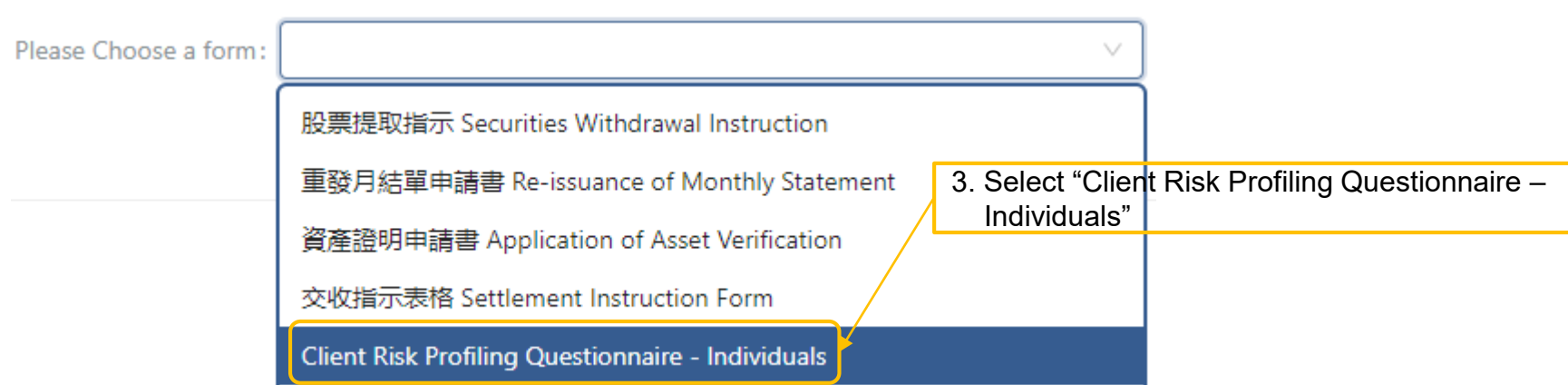
- **Step 1:** Select “Customer Service” in menu bar, then click “e-Form”



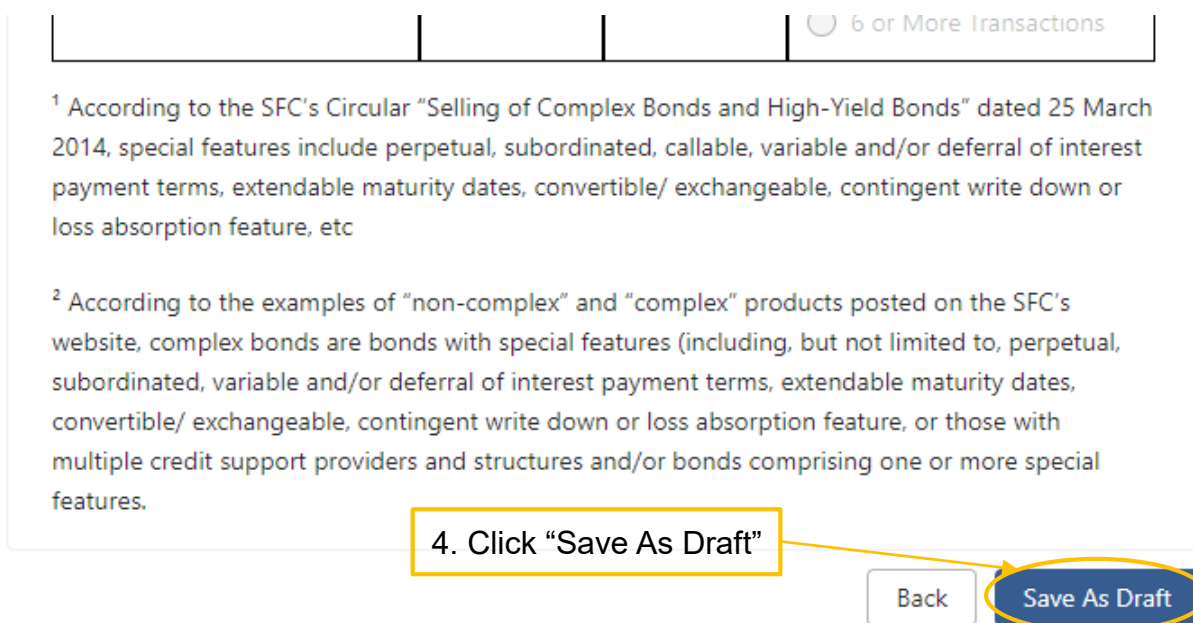
- **Step 2:** Click “Create”



- **Step 3:** Click on drop-down list, then select “Client Risk Profiling Questionnaire –Individuals”



- **Step 4:** After completed the Client Risk Profiling Questionnaire, click “Save As Draft”



- **Step 5:** Please confirm the assessment result of your Investment Risk Profile, then enter your full name in the “Customer’s Signature” field and click "Submit" (Click "Back" if you want to redo the Client Risk Profiling Questionnaire)

Confirm And Submit

### Assessment Result

Investment Risk Profile	Attributes and Risk Preferences
Conservative	Generally speaking, these clients prioritize investment products of low uncertainty on risk or incline to minimize investment loss.
Stable	Generally speaking, these clients are willing to take modest risk so as to achieve returns slightly better than bank deposits.
Balanced	Generally speaking, these clients are willing to take a certain degree of risk and/or prefer investment portfolio mixed with products ranging from low to high risk.
Growth	Generally speaking, these clients are willing to accept higher price fluctuation and take relatively higher risk for respectable returns and/or prefer growth-oriented products (which may be complex or sophisticated products) or products of similar risk level.
<b>Aggressive</b>	<b>Generally speaking, these clients are comfortable with maximizing their potential return by investing in very high risk products. Investment values can fluctuate widely and may fall substantially below client's original investment. They are willing to invest in more complex or highly sophisticated products, or products of similar risk level.</b>

- I/We agree and accept the above assessment result of my/our risk profile.  
 I/We disagree with the above assessment result and believe that the following risk profile, which is more prudent than the assessment result is more suitable  
 I/We hereby declare and agree that all the information given above is complete, true and accurate, and is given to the best of my/our knowledge. I/We acknowledge that any advice or recommendation given to me/us by the representative(s) of GTJA relies upon the basis of information given by me/us. I/We shall inform GTJA immediately if there is any material change on any of the information given.
5. Tick the boxes to confirm

### Liquid Net Worth

I/We hereby acknowledge that my/our liquid net worth (i.e. total assets minus total liabilities (excluding the net value of fixed assets)) is estimated to be:

HK\$ 1 Million

6. Enter your Liquid Net Worth

\* Client's Signature:

CHAN TAI MAN

7. Enter your full name

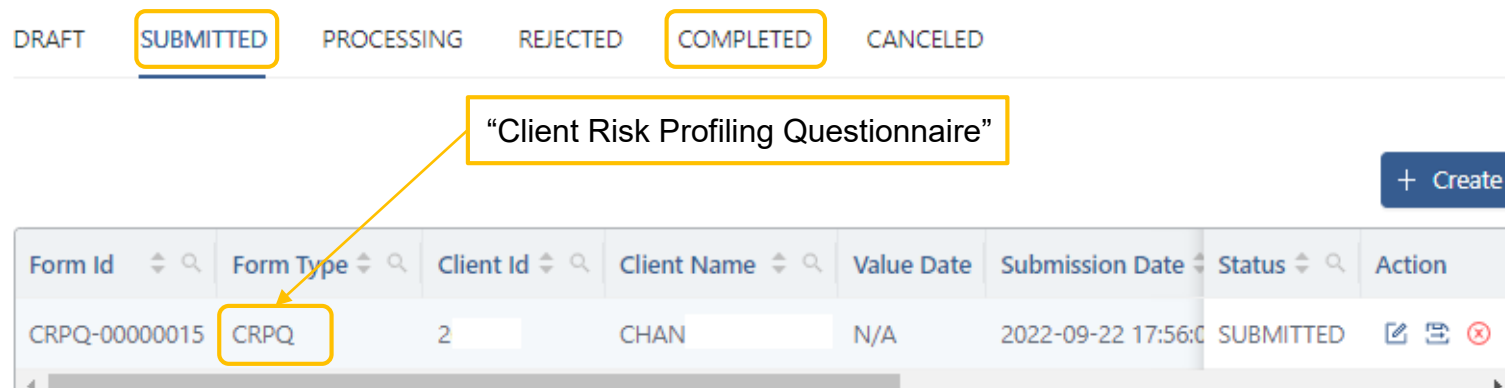
Client's Signature (for joint account owners only):

Please enter your full name

8. Click “Submit”

Back Submit

- **Step 6:** You application has been submitted for further processing. Once approved, you will view your application in the “COMPLETED” Tab



**For Enquiries, please contact:**

**CS Hotline: 40080 95521 / (852) 2509 7524**

**HK Share Dealing Hotline: (852) 2250 0898 / (852) 2250 0899**

**24-hour Dealing Hotline: (852) 2509 7526**