

To: Guotai Junan Securities (Hong Kong) Limited
 27th Floor, Low Block, Grand Millennium Plaza,
 181 Queen's Road Central, Hong Kong
 Tel: (852) 2509 7524 Fax: (852) 2509 7787

致：國泰君安證券(香港)有限公司
 香港中環皇后大道中 181 號
 新紀元廣場低座 27 樓
 電話：(852) 2509 7524 傳真：(852) 2509 7787

Dear Sirs 敬啟者,

Notice to opt-out from online trade execution notification
不收取互聯網交易執行通知

With immediate effect, I/We* _____ (Account Name), holder(s) of account number _____, hereby request to opt-out receive the online trade execution notification. I/We confirmed to acknowledge and accept the risk disclosure statement as below:

由即日起，本人/吾等* _____ (帳戶名稱)，帳戶號碼 _____ 持有人，謹此要求不收取互聯網執行交易通知。本人/吾等確認本人/吾等已詳細閱讀並知悉及同意下述之風險披露聲明：

Risk Disclosure Statements 風險披露聲明

Online Trade Execution Notification is a monitoring measure implemented by the Hong Kong Securities and Futures Commission Guidelines for Reducing and Mitigating Hacking Risks Associated with Internet Trading. By receiving promptly notification on trade execution, clients are allowed to understand the trading status of their trading accounts, in order to detect all potential unauthorized transactions in a timely manner, and take measures to reduce or mitigate hacking risks associated with internet trading, so to protect the trading account of clients.

Client chooses to opt-out from online trade execution notification, may fail to know or detect the account being hacked, unauthorized transactions or abnormal activities in time. Client acknowledges, understands and wholly be responsible for any disputes, losses, liabilities and risks involved in opt-out from online trade execution notification.

互聯網交易執行通知是根據香港證監會的《降低及紓減與互聯網交易相關的黑客入侵風險指引》而實行的其中一項監察措施，其目的旨讓客戶可儘早瞭解其交易帳戶的交易狀況，及時偵測所有潛在的未經授權交易，從而採取措施以降低或紓減與互聯網交易相關的黑客入侵風險，以保護客戶的交易帳戶。

客戶選擇不收取互聯網執行交易通知，可能未能及時知道或發現帳戶被黑客入侵、未經授權的交易或異常活動。客戶確認、明白及願意承擔一切可能因此引致之任何爭議、損失、責任及風險。

Declaration 聲明

I/ We* understand my/our* above request is subject to the acceptance of your company.
 本人/吾等明白上述要求須待 貴公司接納方可生效。

Client Signature 客戶簽署

Signature of Client (with chop for Corporate Client) 客戶簽署 (如公司客戶請蓋章)	
Full Name of Client 客戶姓名	
Date 日期	

* Please delete as inappropriate 請刪去不適用者

For GTJA Use only	Signature Verified	Inputted	Reviewed
	COB	COB	COB
Signature			
Date			