

Guotai Junan Securities (Hong Kong) Limited
<<Reward Points Incentive Scheme>>

To reward for the ongoing support of our customers and provide various preferential services, we launched the Reward Points Incentive Scheme. You can now use the reward points to redeem free account(s) from a series of real time quotation systems:

\$1 Transaction Commission = 1 Reward Point

1. Rules of earning points

- (a) Reward points are based on the commission paid in transaction, HKD 1 commission =1 point. Corresponding points earned will be deducted due to any commission rebate.
- (b) Reward points are valid for 2 years and are rolled out by the year (Expired on December 1 of each year).
- (c) Reward points for the account are limited to the account and cannot be transferred, gifted or transferred.
- (d) Client can check the reward point balance in online trading platform.
- (e) Commissions of oversea market transactions will be convert into the corresponding reward points at the bank exchange rate.

2. <<Reward Points Incentive Scheme>> Rules of redemption

The incentive scheme offers a list of selected real time quota services to client. Client can apply real-time quote service for free. The details are as follows:

(a) Redemption plans

Refer to [Guideline of Online Application of Reward Points Scheme](#)

(b) Redeem methods

- i) Client will automatically be eligible for redemption from the date of opening a securities account.
- ii) Client should log in to the online trading platform to apply the real time quote service.
- iii) The reward points will be deducted after the application of real time quote is approved. Client cannot make any change or withdraw during the redemption period.
- iv) Reward points will be deducted on monthly basis. Reward points for a month apply for application of less than a month.

- v) The quotation service will be activated after deducted the reward points, a notification with login name and password will be sent to client by email and online system message (Message For You).
- vi) The redemption plan will not replace any charging quotation plan which currently in use. Client has to apply the cancellation of Real-Time Quote Service System via online trading platform.
- vii) The Client agrees to participate in the above-mentioned <<Reward Points Incentive Scheme>> of Guotai Junan Securities (Hong Kong) Limited(GTJAS) and authorizes the company, when necessary, to provide the client's address and identity document to the relevant quotation company for verification of the identity in order to comply with the requirements of the Hong Kong Stock Exchange.
- viii) The Reward Points are valid for two years and will be zeroed on a rolling basis on December 1.

Please feel free to call the Customer Service Department at (40080 95521 / (852) 25097524) for any enquiry.

Guotai Junan Securities (Hong Kong) Limited

7th July 2022.

***GTJAS reserves the right to terminate or amend the plan, without any notice in advance. In case of any dispute, the decision of GTJAS shall be final.**