

GTJAI

US Stock Options Guideline

For enquiries, please contact:

CS Hotline : 40080 95521 / 852 – 2509 7524

HK Shares Dealing Hotline : 852 – 2250 0898 / 852 – 2250 0899

24-hour Dealing Hotline : 852 – 2509 7526



國泰君安國際
GUOTAI JUNAN INTERNATIONAL

Content:

- (A) Register 2FA App “HandyKey”
- (B) 2FA Login
- (C) Client Account Locked
- (D) Change Password
- (E) Reset Password
- (F) Market Data Subscriptions
- (G) Internal Transfer to US Stock Options Account



(A) Register 2FA App “HandyKey”



(A) Register 2FA App “HandyKey”

- (1) Download mobile App “HandyKey”



App Store:

<https://itunes.apple.com/us/app/handydsa/id973527717?mt=8>

Google Play Store:

<https://play.google.com/store/apps/details?id=com.clientam.handydsa&hl=en>

APK Download Link:

<http://download.clientam.com/sdsa/HandyKey.apk>



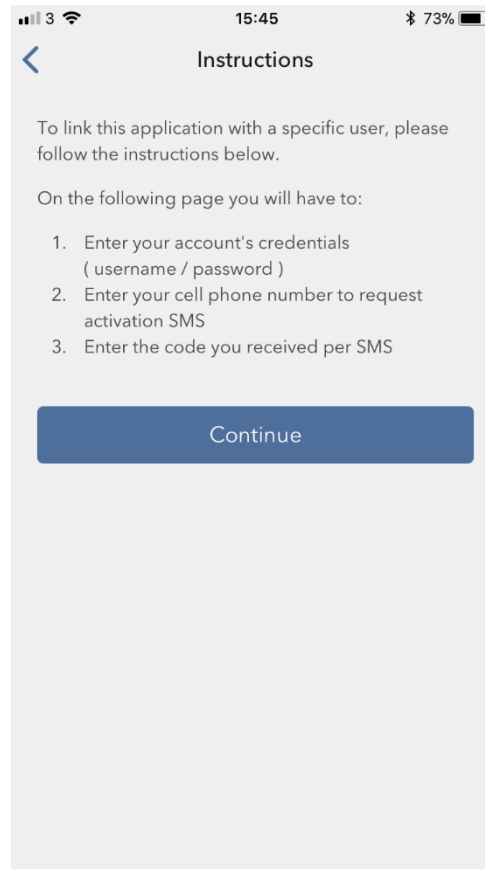
(A) Register 2FA App “HandyKey”

- (2) Open mobile App “HandyKey” and press “Register Two-Factor”



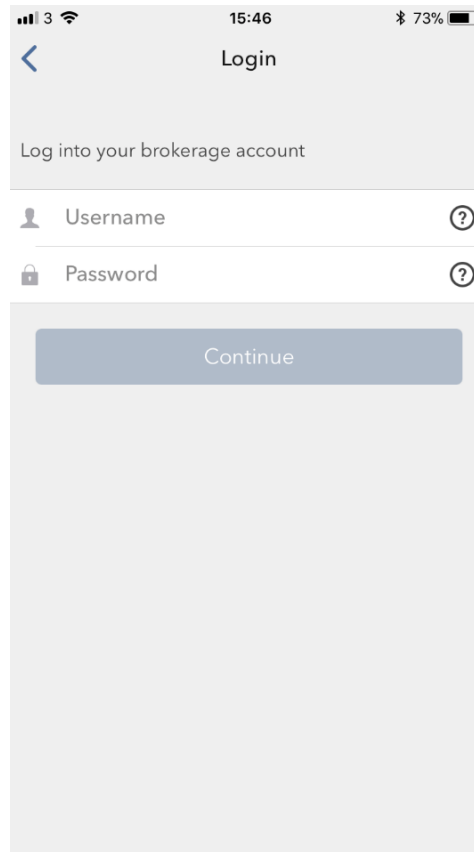
(A) Register 2FA App “HandyKey”

- (3) Read the instructions and press “Continue”



(A) Register 2FA App “HandyKey”

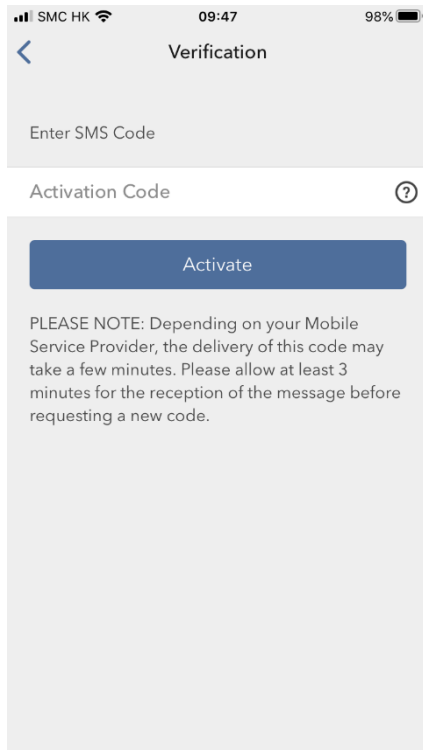
- (4) Enter Username and Password, then press “Continue”



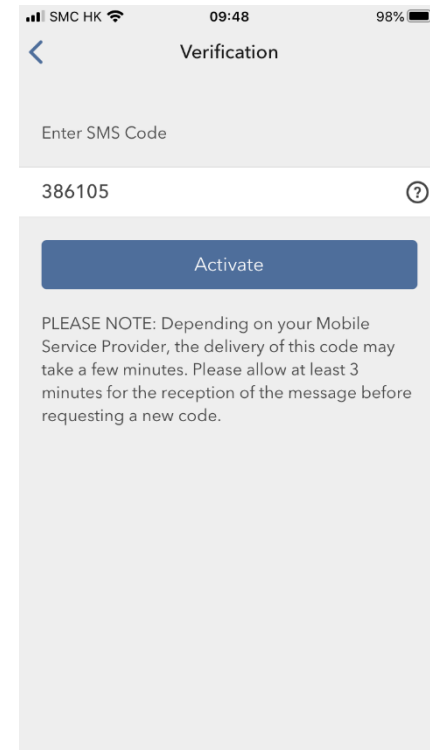
The screenshot shows a mobile application interface for logging into a brokerage account. At the top, the status bar displays signal strength, Wi-Fi, the time 15:46, and 73% battery. Below the status bar is a navigation bar with a back arrow on the left and the title 'Login'. The main content area contains the text 'Log into your brokerage account' followed by two input fields: 'Username' and 'Password', each with a question mark icon to its right. A large blue button labeled 'Continue' is positioned below the input fields.

(A) Register 2FA App “HandyKey”

- (5) Enter Mobile Number for receiving Activation Code
- (6) Enter Activation Code from SMS message



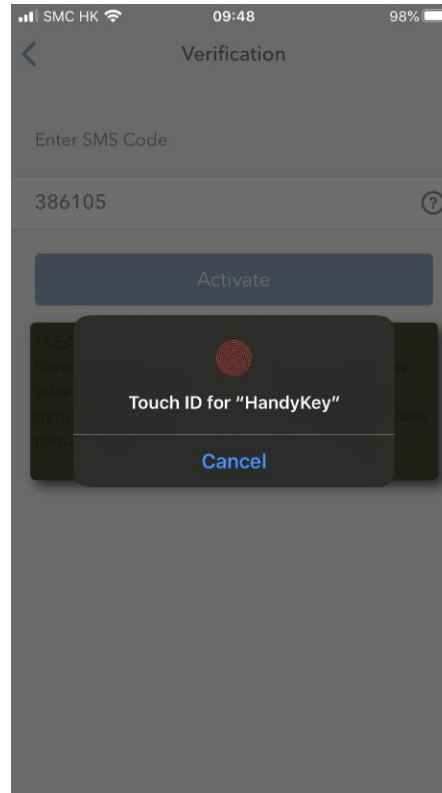
Your requested authentication code: **386105**



(A) Register 2FA App “HandyKey”

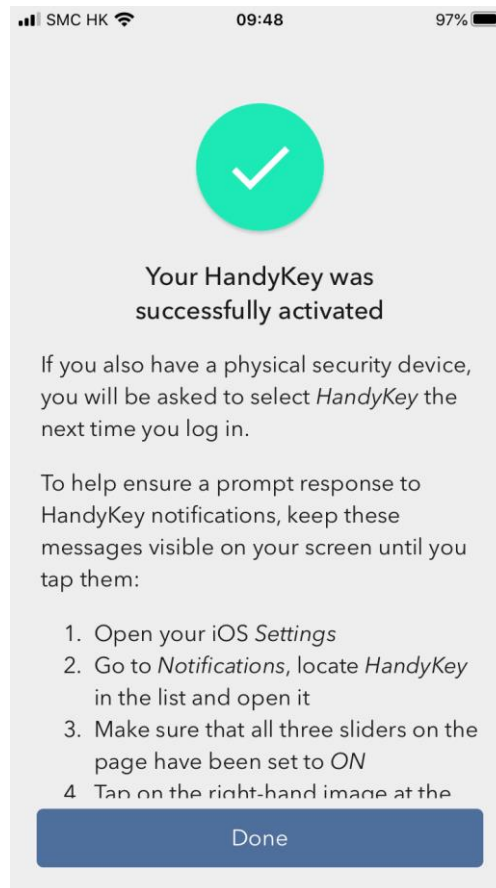
- (7) Complete the authentication

*It depends on the biometric authentication of your mobile device, such as fingerprint, face detection or PIN code)



(A) Register 2FA App “HandyKey”

- (8) Successfully complete the enrollment process and press “Done”



(B) 2FA Login



(B) 2FA Login

- (1) Login Trading Platform <https://usoption.gtjai.com>
Enter Username and Password then press “Login” , and a notification message will be sent to mobile

國泰君安證券(香港)有限公司
GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED
中央編號: ABY236 CE Number: ABY236

Live Paper

Login

Username

Password

Need help?

Login

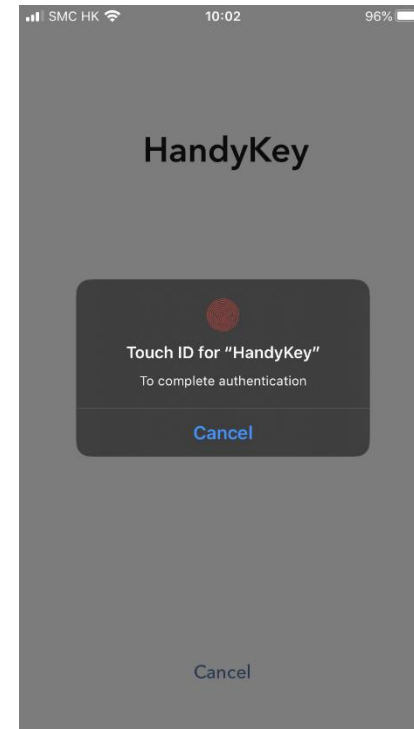
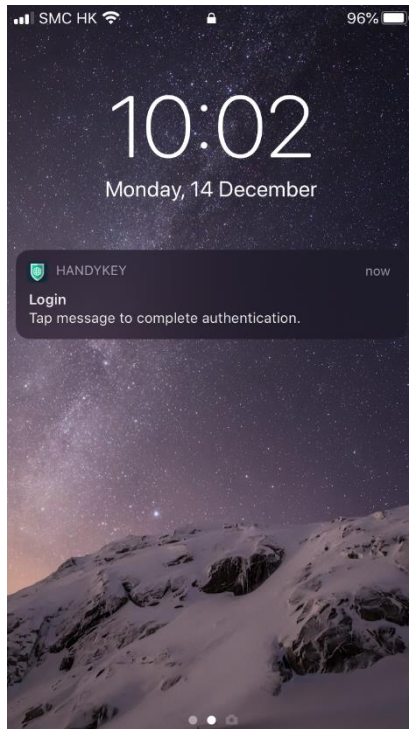
1.
Enter username
and password

2.
Press “Login”

(B) 2FA Login

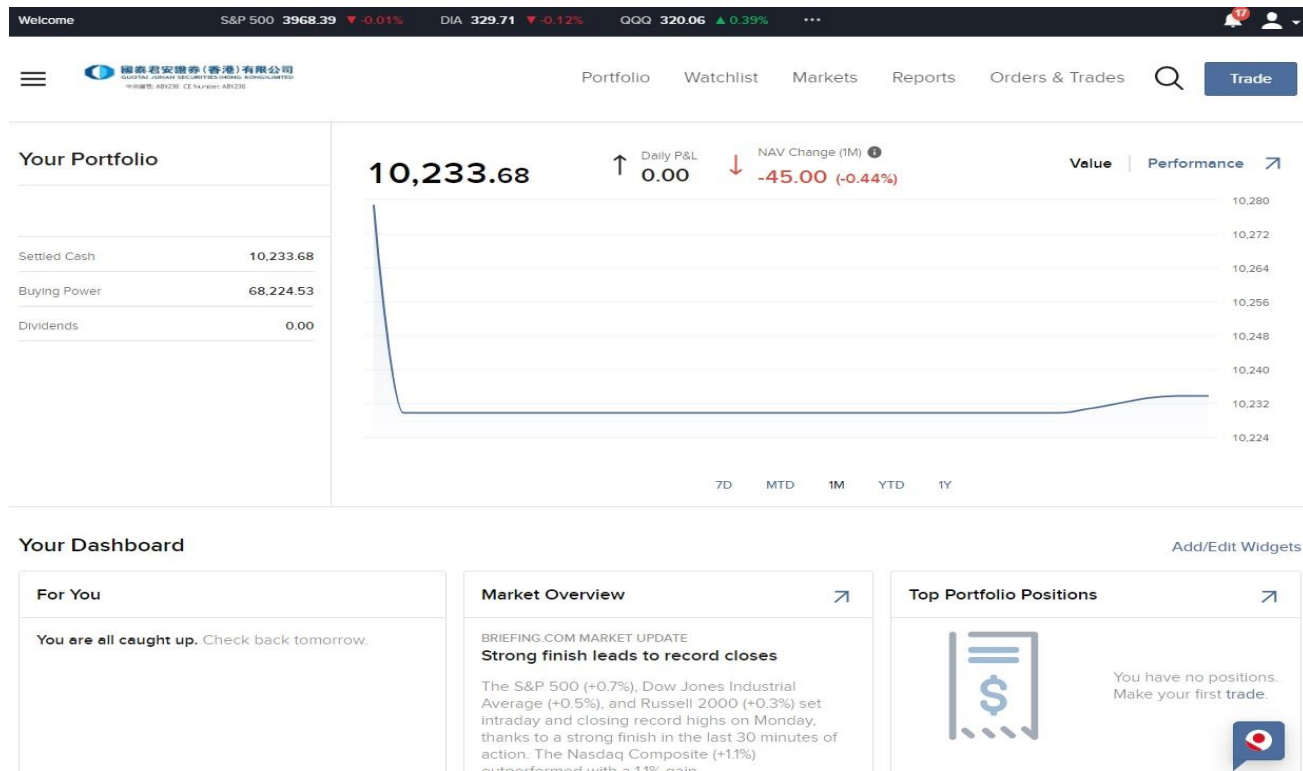
- (2) Open mobile App “HandyKey” to complete 2FA

*It depends on the biometric authentication of your mobile device, such as fingerprint, face detection or PIN code



(B) 2FA Login

- (3) The page will be directed to the trading platform after the completion of 2FA



(C) Client Account Locked



(C) Client Account Locked

- If client enter password incorrectly for 10 times, the account will be locked.
- Please contact our 24-hr dealing hotline at (852) 2509 7526 to unlock account.



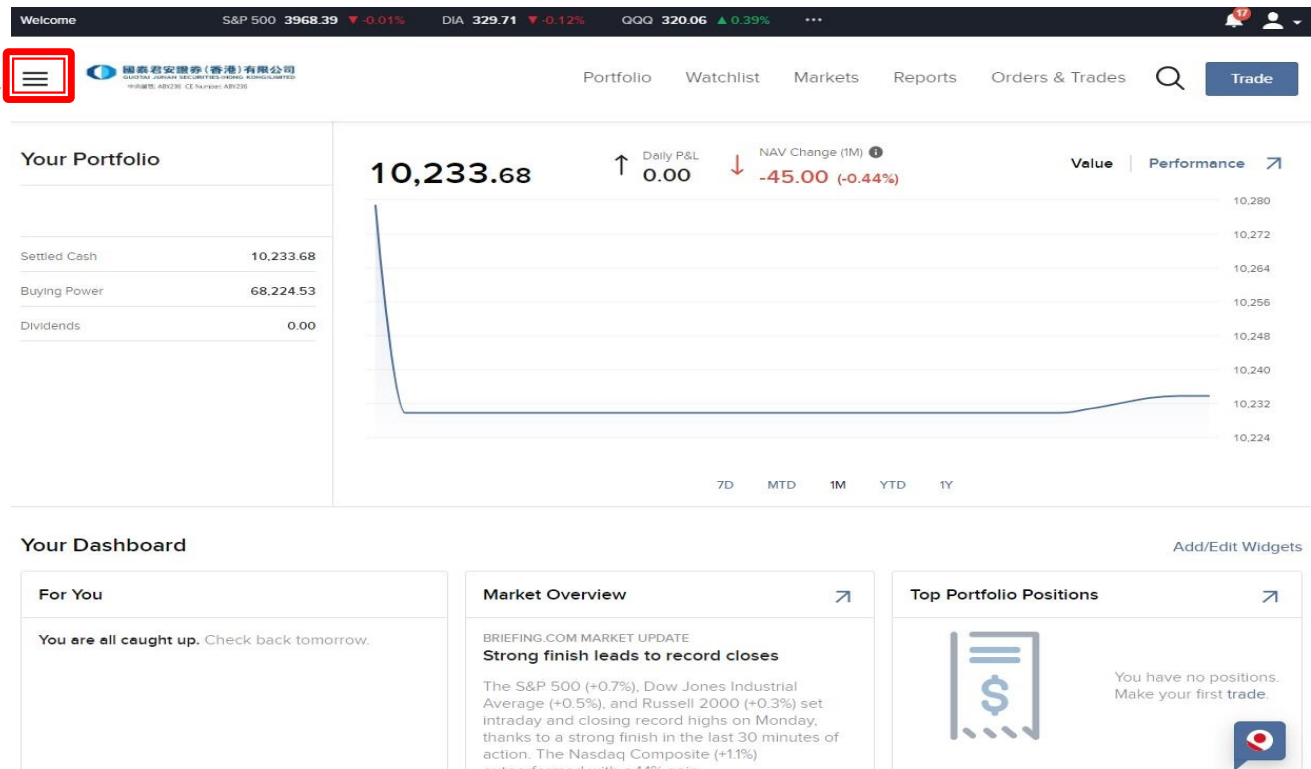
(D) Change Password



(D) Change Password

- (1) Login to Trading Platform
<https://usoption.gtjai.com>
- (2) Select Menu ☰

1.
Select Menu



The screenshot shows the trading platform interface. At the top, there is a navigation bar with market indices: S&P 500 3968.39 (-0.01%), DIA 329.71 (-0.12%), and QQQ 320.06 (0.39%). The main header includes the company name "國泰君安證券(香港)有限公司" and navigation links for Portfolio, Watchlist, Markets, Reports, Orders & Trades, and Trade. A red box highlights the menu icon (☰) in the top left corner, with a red arrow pointing to it from the text "1. Select Menu".

Your Portfolio

Settled Cash	10,233.68
Buying Power	68,224.53
Dividends	0.00

10,233.68 ↑ Daily P&L 0.00 ↓ NAV Change (1M) -45.00 (-0.44%)

Your Dashboard

- For You**: You are all caught up. Check back tomorrow.
- Market Overview**: BRIEFING.COM MARKET UPDATE. Strong finish leads to record closes. The S&P 500 (+0.7%), Dow Jones Industrial Average (+0.5%), and Russell 2000 (+0.3%) set intraday and closing record highs on Monday, thanks to a strong finish in the last 30 minutes of action. The Nasdaq Composite (+1.1%) outperformed with a 1.1% gain.
- Top Portfolio Positions**: You have no positions. Make your first trade.

(D) Change Password

- (3) Select Settings > User Settings

2.
Select Settings

The screenshot displays a trading platform interface. On the left is a dark navigation menu with the following items: Home, Portfolio, Orders & Trades, Convert Currency, Order Ticket, Watchlist, Market Overview, Scanners, Events Calendar, PortfolioAnalyst, Reports / Tax Docs, FYI Notifications, Exchange Notices, Settings, Account Settings, and User Settings. The 'Settings' and 'User Settings' items are highlighted with red boxes. A red arrow points from the text '2. Select Settings' to the 'Settings' box, and another red arrow points from '3. Select User Settings' to the 'User Settings' box. The main content area shows a portfolio summary with a balance of 10,233.68, Daily P&L of 0.00, and NAV Change of -45.00 (-0.44%). Below this is a line chart and a 'Market Overview' widget with a news snippet: 'Strong finish leads to record closes'. A 'Top Portfolio Positions' widget shows 'You have no positions. Make your first trade.'

3.
Select User
Settings

(D) Change Password

- (4) Select Password

Welcome S&P 500 3968.39 ▼ -0.01% DIA 329.71 ▼ -0.12% QQQ 320.20 ▲ 0.43%

國泰君安證券(香港)有限公司
GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED
中港聯託: AB1235 CE Number: AB7236

Portfolio Watchlist Markets Reports Orders & Trades Trade

User Settings

Login

Password

Communication

Email Address

Mobile Number

Trade Execution Notification

Security

Secure Login System

Trading Platform

Read-Only Access	Enabled	⚙️
Market Data Subscriptions		⚙️
Research Subscriptions		⚙️
Asia Gateway Access	Disabled	⚙️

4. Select Password

(D) Change Password

- (5) Change Password

Welcome S&P 500 3968.39 ▼ -0.01% DIA 329.60 ▼ -0.15% QQQ 320.05 ▲ 0.38% ...

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GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED
中央編號: ABY235 CE Number: ABY235

Portfolio Watchlist Markets Reports Orders & Trades Trade

Password

User Settings / Password

Change Password

Username

Password

New Password

Confirm New Password

5. Enter original password

6. Enter new password twice

7. Press "Continue"

(E) Reset Password



(E) Reset Password

- If client forgot password, please contact our 24-hr dealing hotline at (852) 2509 7526 to reset password.
- One-time Password will be sent to client by SMS message.

(E) Reset Password

- (1) Contact us to request reset password, a one-time password will be sent to your registered mobile phone number
- (2) Login trading platform to complete the reset password process

<https://usoption.gtjai.com>



(E) Reset Password

- (3) Enter Username & one-time password from SMS message, then press "Login"

Your new one-time password:
s6774346 Please change this password immediately - it will expire on 03:29 Eastern Standard Time.



3.
Press "Login"

國泰君安證券(香港)有限公司
GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED
中央編號: ABY236 CE Number: ABY236

Live Paper

Login

Username

Password

Login

1.
Enter username

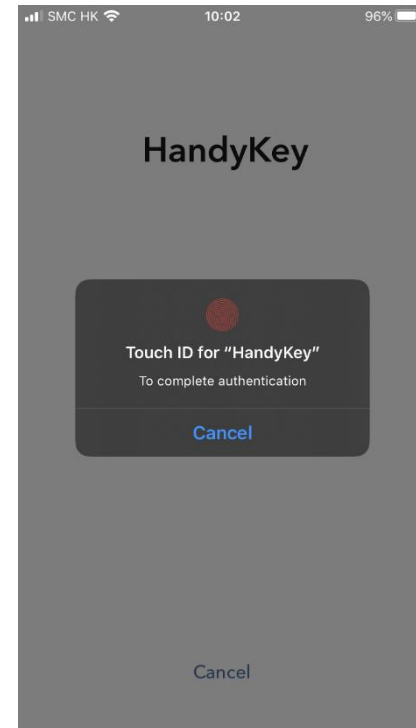
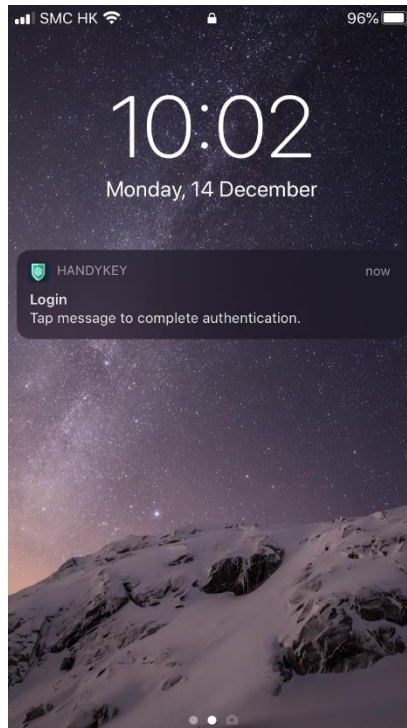
2.
Enter one-time password from SMS message (Example: s6774346)

3.
Press "Login"

(E) Reset Password



- (4) Open mobile App "HandyKey" to complete 2FA


*It depends on the biometric authentication of your mobile device, such as fingerprint, face detection or PIN code




(E) Reset Password

- (5) Reset password

Welcome,  

 國泰君安證券(香港)有限公司
GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED


Your password has expired. Create a new password below to continue.

Change Password

Username	<input type="password" value="....."/>
Password	<input type="password" value="Required"/>
New Password	<input type="password" value="Required"/>

4. Enter one-time password from SMS message (Example: s6774346)

(E) Reset Password

- (5) Reset password

Welcome, 👤 🗨️

國泰君安證券(香港)有限公司
GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED

New Password

Confirm New Password

5. Enter new password twice

Password Requirements

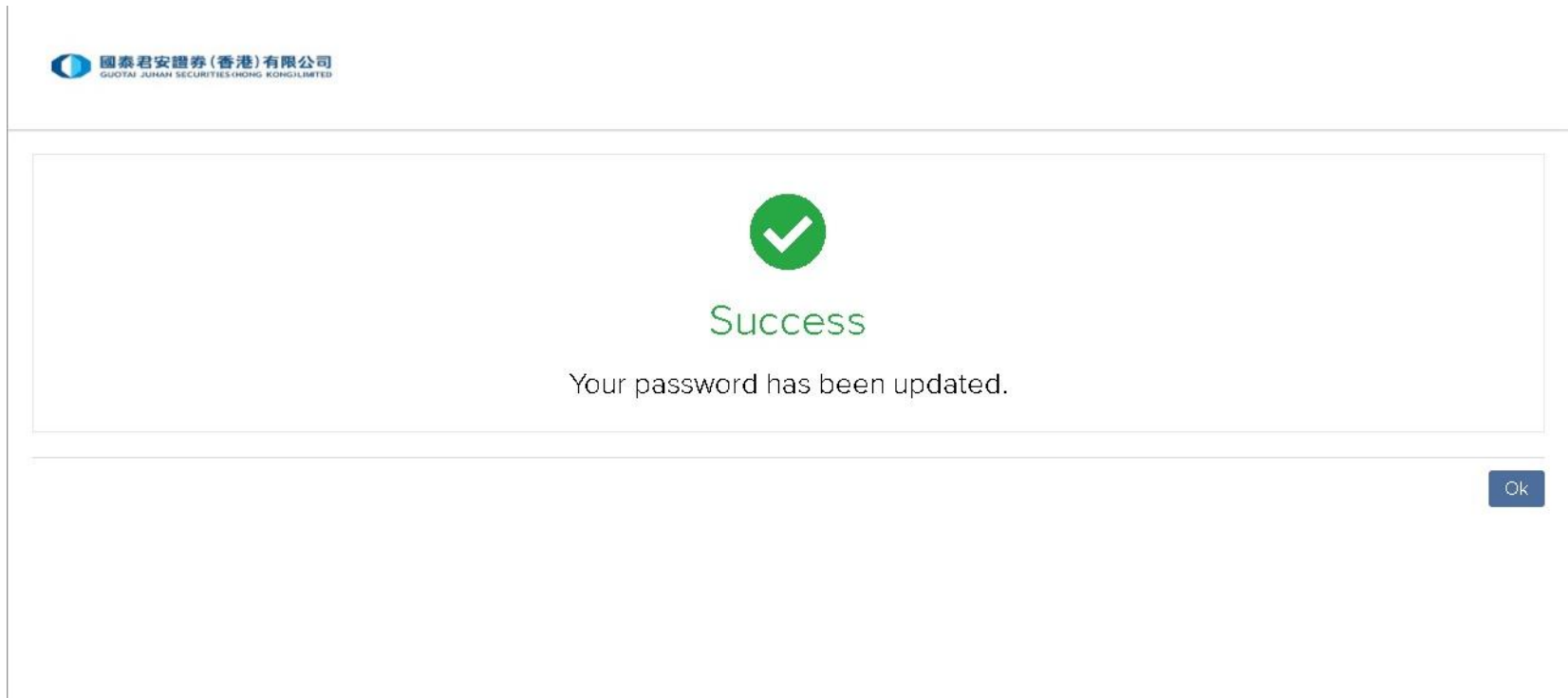
- ✓ Cannot match username
- ✓ Must be between 8 and 40 characters
- ✓ Must contain at least 1 letter
- ✓ Must contain at least 1 number

6. Press "Continue"

Continue

(E) Reset Password

- (6) Successfully reset password



(F) Market Data Subscriptions



(F) Market Data Subscriptions

- (1) Login to Trading Platform
<https://usoption.gtjai.com>
- (2) Select Menu ☰

1.
Select Menu

The screenshot shows the trading platform interface. At the top, there is a navigation bar with the company name "國泰君安證券(香港)有限公司" and various market indices: S&P 500 3968.39 (-0.01%), DIA 329.71 (-0.12%), and QQQ 320.06 (+0.39%). Below the navigation bar, there is a "Your Portfolio" section on the left and a "Value" section on the right. The "Value" section displays a current value of 10,233.68, a Daily P&L of 0.00, and a NAV Change (1M) of -45.00 (-0.44%). A line chart shows the performance over time, with tabs for 7D, MTD, 1M, YTD, and 1Y. Below the main dashboard, there is a "Your Dashboard" section with three widgets: "For You" (message: "You are all caught up. Check back tomorrow."), "Market Overview" (BRIEFING.COM MARKET UPDATE: "Strong finish leads to record closes"), and "Top Portfolio Positions" (message: "You have no positions. Make your first trade.").

(F) Market Data Subscriptions

- (3) Select Settings > User Settings

2.
Select Settings

3.
Select User Settings

The screenshot displays a trading platform interface. On the left is a dark navigation menu with the following items: Home, Portfolio, Orders & Trades, Convert Currency, Order Ticket, Watchlist, Market Overview, Scanners, Events Calendar, PortfolioAnalyst, Reports / Tax Docs, FYI Notifications, Exchange Notices, Settings, and Account Settings. The 'Settings' and 'User Settings' items are highlighted with red boxes. Red arrows point from the text '2. Select Settings' to the 'Settings' box and from '3. Select User Settings' to the 'User Settings' box. The main content area shows a portfolio overview with a balance of 10,233.68, a Daily P&L of 0.00, and a NAV Change of -45.00 (-0.44%). Below this is a line chart showing performance over time. At the bottom, there are two widgets: 'Market Overview' with a market update and 'Top Portfolio Positions' which states 'You have no positions. Make your first trade.'



(F) Market Data Subscriptions

- (4) Select Market Data Subscriptions

Welcome S&P 500 3968.39 ▼ -0.01% DIA 329.71 ▼ -0.12% QQQ 320.20 ▲ 0.43%

國泰君安證券(香港)有限公司
GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED
中港證券: AR1235 CE Number: AR7236

Portfolio Watchlist Markets Reports Orders & Trades Trade

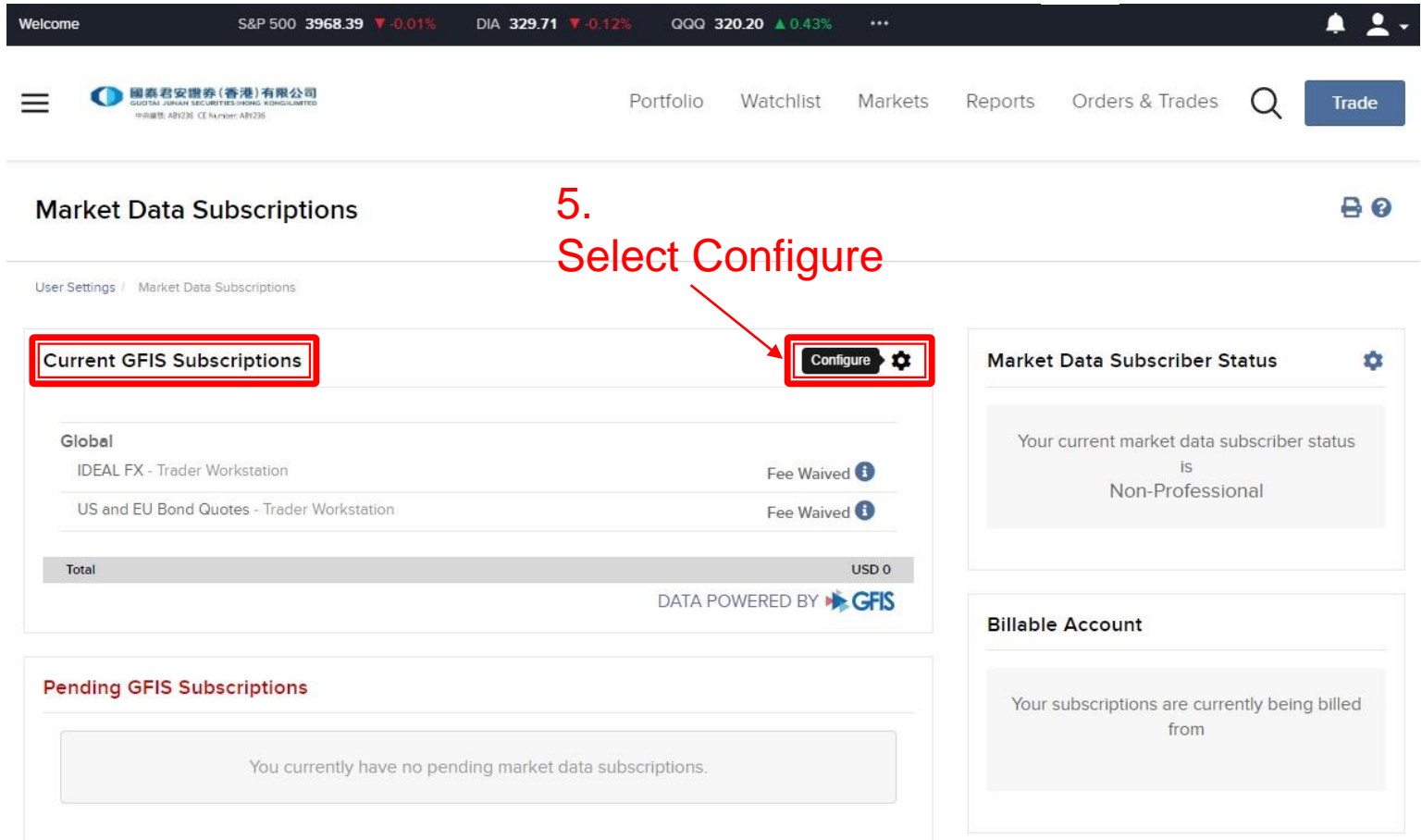
User Settings

- Login
 - Password
- Communication
 - Email Address
 - Mobile Number
 - Trade Execution Notification
- Security
 - Secure Login System
- Trading Platform
 - Read-Only Access Enabled
 - Market Data Subscriptions**
 - Research Subscriptions
 - Asia Gateway Access Disabled

4. Select Market Data Subscriptions

(F) Market Data Subscriptions

- (5) Select Configure under Current GFIS Subscriptions 



Welcome S&P 500 3968.39 ▼ -0.01% DIA 329.71 ▼ -0.12% QQQ 320.20 ▲ 0.43% ...



國泰君安證券(香港)有限公司
GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED
中港編號: ABY236 CE Number: ABY236


Portfolio Watchlist Markets Reports Orders & Trades Trade

Market Data Subscriptions

User Settings / Market Data Subscriptions

Current GFIS Subscriptions

Global	IDEAL FX - Trader Workstation	Fee Waived 
	US and EU Bond Quotes - Trader Workstation	Fee Waived 
Total		USD 0

DATA POWERED BY 

Pending GFIS Subscriptions

You currently have no pending market data subscriptions.

Market Data Subscriber Status

Your current market data subscriber status is Non-Professional

Billable Account

Your subscriptions are currently being billed from

(F) Market Data Subscriptions

- (6) Select Real-Time Market Data on your needs

The screenshot displays the 'Subscribe to Streaming GFIS Real-Time Market Data' page. At the top, a dark navigation bar shows market indices: S&P 500 at 3968.39 (-0.01%), DIA at 329.71 (-0.12%), and QQQ at 320.02 (+0.37%). The main header includes the company logo and name '國泰君安證券(香港)有限公司' (Guotai Junan Securities (Hong Kong) Limited), along with navigation links for Portfolio, Watchlist, Markets, Reports, Orders & Trades, and a Trade button. The main content area is titled 'Subscribe to Streaming GFIS Real-Time Market Data' and provides instructions on subscription. Below this, a 'Trader Workstation' section is visible, with a 'North America' tab selected. A list of subscription categories is shown, each with a right-pointing arrow and a 'Subscriptions: 0' count: Quote Bundles, Indexes, Level I (NBBO), Level II (Deep Book), Fixed Income, and Other. At the bottom right, it states 'DATA POWERED BY GFIS'. A disclaimer at the bottom explains that all data is provided through Global Financial Information Services (GmbH) and that subscribers are responsible for applicable taxes.

Welcome S&P 500 3968.39 ▼ -0.01% DIA 329.71 ▼ -0.12% QQQ 320.02 ▲ 0.37% ...

國泰君安證券(香港)有限公司
GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED
中環皇后大道中 2 號 25 樓 2505 室

Portfolio Watchlist Markets Reports Orders & Trades Trade

Subscribe to Streaming GFIS Real-Time Market Data

Choose from our extensive streaming real-time market data bundles. You can subscribe or unsubscribe after your account has been activated. All fees listed below are per month. Partial month subscriptions are charged at the full month's rate.

Trader Workstation

North America South America Europe Middle East Africa Asia Pacific Global Quote Booster

- > Quote Bundles Subscriptions: 0
- > Indexes Subscriptions: 0
- > Level I (NBBO) Subscriptions: 0
- > Level II (Deep Book) Subscriptions: 0
- > Fixed Income Subscriptions: 0
- > Other Subscriptions: 0

DATA POWERED BY GFIS

- All data is provided through Global Financial Information Services (GmbH).
- Global Financial Information Services (GmbH) acts as the collecting agent for taxes from sales of market data and research on behalf of the broker. Note, all subscribers are responsible for any applicable taxes, including, but not limited to, any VAT, charges or assessments by any foreign or domestic national, state, provincial or local government bodies, or subdivisions thereof relating to the provision of the Data. Visit www.gfis.info for more information.

(F) Market Data Subscriptions

- (6) Select Real-Time Market Data on your needs

The screenshot displays the 'Trader Workstation' interface. At the top, a dark navigation bar shows market indices: S&P 500 at 3968.39 (-0.01%), DIA at 329.71 (-0.12%), and QQQ at 320.02 (+0.37%). Below this is the company logo and name: 國泰君安證券(香港)有限公司 (GUOTAI JUNAN SECURITIES (HONG KONG) LIMITED). The main navigation menu includes Portfolio, Watchlist, Markets, Reports, Orders & Trades, and a Trade button. The 'Quote Bundles' section is active, showing three subscription options:

- Cboe One Add-On Bundle**: Realtime streaming quotes from the four Cboe US equity exchanges. In order to subscribe to Cboe One Add-On Bundle (NP,L1), the user must already be subscribed to US Securities Snapshot and Futures Value Bundle (NP). Fee is waived if commissions generated are greater than USD 5.00. Requires TWS Version 978 and above. **USD 1.00 /Month**. A monthly USD 1.00 fee will be waived whenever the monthly commissions generated in the account reaches USD 5.00.
- US Equity and Options Add-On Streaming Bundle**: Includes streaming realtime quotes for NYSE (CTA/Network A), AMEX (CTA/Network B), NASDAQ (UTP/Network C), and OPRA (US Options). In order to subscribe to US Equity and Options Add-On Streaming Bundle (NP), the user must already be subscribed to US Securities Snapshot and Futures Value Bundle (NP). **USD 4.50 /Month**.
- US Futures Value Bundle PLUS**



(F) Market Data Subscriptions

- Client can subscribe Real-Time Market Data depending on your needs, below are the examples for reference

Description	Details	Monthly Fee
NYSE (Network A/CTA) (NP,L1)	NonPro Real time market data for NYSE listed stocks. Provides top of book bid/ask/last quotes. Examples - CYS, TEL and LLY.	1.5 USD
NASDAQ (Network C/UTP) (NP,L1)	NonPro Provides real-time data bid/ask (top of book) quotes for NASDAQ listed securities. Examples - MSFT, CSCO and TSLA.	1.5 USD
OPRA (US Options Exchanges) (NP,L1)	NonPro Provides option data from AMEX, ARCA, BATS, BOX, BSE, CBOE2, CBOE, ISE NASDAQ AND PHLX.	1.5 USD
NYSE AMEX Options (NP,L2)	NonPro Depth of market data for options listed on the AMEX exchange. L2 only. Need OPRA (US Options Exchange) for L1.	11.5 USD
NYSE Arca Options (NP,L2)	NonPro Provides depth of book data for Arca options. L2 only. Need OPRA (US Options Exchange) for L1.	11.5 USD
US Equity and Options Add-On Streaming Bundle (NP)	NonPro Includes streaming realtime quotes for NYSE (CTA/Network A), AMEX (CTA/Network B), NASDAQ (UTP/Network C), and OPRA (US Options). In order to subscribe to US Equity and Options Add-On Streaming Bundle (NP), the user must already be subscribed to US Securities Snapshot and Futures Value Bundle (NP).	4.5 USD



(G) Internal Transfer to US Stock Options Account

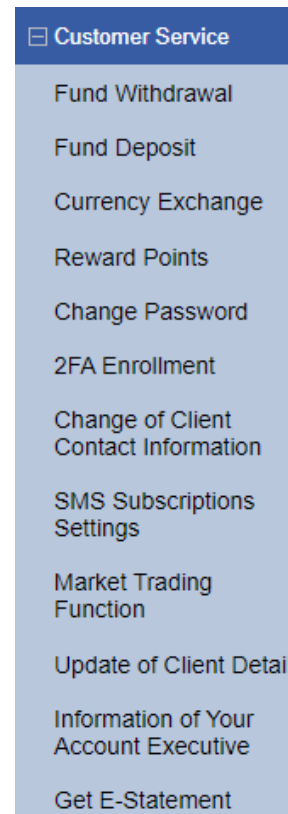


(G) Internal Transfer to US Stock Options Account

- (1) Login to GTJAI Online Trading Platform

https://trade.gtjai.com/oapp/page_en/common/login.html

- (2) Select Customer Service > Fund Withdrawal



(G) Internal Transfer to US Stock Options Account

- (3) Select Internal transfer (to Futures, FX, HK Stock Options or US Stock Options account)

Withdrawal Instruction

- Withdrawal instruction (HKD)
 - Withdrawal instruction (Other currency)
 - Internal transfer (to Futures, FX, HK Stock Options or US Stock Options account)
-

Next



(G) Internal Transfer to US Stock Options Account

- (4) Select “Securities to US Stock Options” and fill in internal transfer information, then submit

Internal transfer | Internal transfer record

Transfer direction
Securities to US Stock Options ▼

Currency
USD ▼

Amount

Remarks

Next

Reminder

- Instruction will be rejected if there is no available Futures, FX, HK Stock Options or US Stock Options account.
- Deadline for internal transfer is 16:00 in every working day, application received after the cut off time will be handled in next working day.

For enquiries, please contact:

CS Hotline : 40080 95521 / 852 - 2509 7524

HK Shares Dealing Hotline : 852 - 2250 0898 / 852 - 2250 0899

24-hour Dealing Hotline : 852 - 2509 7526

