

U.S. Microcap Stock Restriction List

ABUS	DMAC	KWBT	SOUG
ACAI	DNN	LAHO	SPDC
ACRB	DRGG	LBTG	SPI
ACST	DYNP	LFIN	SPIR.OLD
ACUS	EBODF	LIWA	SPNGQ
AEGY	EDSFF	LOCM	SRNW
AEHI	EFTB	LUCC	STBV
AMIEQ	EKSO	LZENF	STIE
AOXG	EMMA	MAAFF	STRI.OLD
ARGSQ	EPRSQ	MBIO	SVFC.OLD
ARGW	ERBB	MCET	TAOP
ARYX	ESNC	MCZAF	TBACQ
ASOE	ETLT	MKRO	TBET
ASPZ	EVARF	MSMT	TLDN
AVCTQ	FFFC	NBRV.OLD1	TNAV
AWSH	FLSR	NBY	TPIY
BCAP	FMCC	NEWLF	TSTC
BESNQ	FNMA	NEWN	UBIQ
BYOC	FTCY	NRTLQ	UCHC
CACH	FUTS	NSAV	UMEFW
CATX	FXPT	ORSX	URG
CCGM	GACR	PANC	US00752H1023
CCME	GBSNQ	PDMI	US817CVR0389
CDSG	GCHK	PMCM	US921ESC8518
CEI	GFRP	PME	USDF
CGDI	GLBR	PUDA	VELA
CGYG	GMOLQ	PWAVQ	VKIN
CHGI	GNCIQ	QRMLF	VTGDF
CHNGQ	GPRC	RCKE	WHHT
CHNR	GSPE	RCON	WINSF
CHSH	GTCN	RIGH	WLTGQ
CHWG	GTOPQ	RMCP	WOAM
CIWT	HECCQ	RTBC	WUHN
CKYS	HESG	RXPC	XFLS
CMCI.OLD	HESV	SAPX	XXII
CMCJ	HGLI	SASI	YEWB.OLD
CMDA	HITR	SATCQ	YRIV
CNBI	HKWO	SDIR	YUII
CNLG	HPJ	SEED	ZAAP
COYNQ	HRCT	SFBE	ZKIN
CPHI	HSTC	SGLA	ZMDC
CPQQ	ICON	SGLY	ZTNO
CREG	IDEX	SGMA	
CRRSQ.OLD	IICN	SGTI	
CSNG	IMRSQ	SHGI	
CURXQ	JZHG	SHLDQ	
DAKPQ	KALY	SKBI	
DFEL	KCP	SKTO	
DLIAQ	KGJI	SKYI	
DLYT	KMGH	SOQDQ	

Update: 13/12/2023